

Representations of finite groups on Riemann-Roch spaces*

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Abstract

We study the action of a finite group on the Riemann-Roch space of certain divisors on a curve. If G is a finite subgroup of the automorphism group of a projective curve X over an algebraically closed field and D is a divisor on X left stable by G then we show the irreducible constituents of the natural representation of G on the Riemann-Roch space $L(D) = L_X(D)$ are of dimension $\leq d$, where d is the size of the smallest G -orbit acting on X . We give an example to show that this is, in general, sharp (i.e., that dimension d irreducible constituents can occur). Connections with coding theory, in particular to permutation decoding of AG codes, are discussed in the last section. Many examples are included.

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Let X be a smooth projective (irreducible) curve over an algebraically closed field F and let G be a finite subgroup of automorphisms of X over F . We often identify X with its set of F -rational points $X(F)$. If D is a divisor of X which G leaves stable then G acts on the Riemann-Roch space $L(D)$. We ask the question: which (modular) representations arise in this way?

Similar questions have been investigated previously. For example, the action of G on the space of regular differentials, $\Omega^1(X)$ (which is isomorphic to $L(K)$, where K is a canonical divisor). This was first looked at from the representation-theoretic point-of-view by Hurwitz (in the case G is cyclic) and Weil-Chevalley (in general). They were studying monodromy representations on compact Riemann surfaces. For more details and further references, see the book by Breuer [B] and the paper [MP]. Other related works, include those by Nakajima [N], Kani [Ka], Köck [K], and Borne [Bo1], [Bo2], [Bo3].

The motivation for our study lies in coding theory. The construction of AG codes uses the Riemann-Roch space $L(D)$ associated to a divisor D of a curve X defined over a finite field $[G]$. Typically X has no non-trivial automorphisms¹, but when it does we may ask how this can be used to better understand AG codes constructed from X . If G is a finite group acting transitively on a basis of $L(D)$ (admittedly an optimistic expectation, but one which gets the idea across) then one might expect that fast encoding and decoding algorithms exists for the associated AG codes. Of course, for such an application, one wants F to be finite (and not algebraically closed).

¹Indeed, a theorem of Rauch, Popp, and Oort (see §1.2 in [Bo3], for example) implies that if $g > 3$ then the singular points of the moduli space M_g of curves of genus g correspond to curves having a non-trivial automorphism group.

These ideas are discussed in §4 below for AG codes constructed from the hyperelliptic curves $y^2 = x^p - x$ over $GF(p)$. Several conjectures on the complexity of permutation decoding of the associated AG codes are given there.

1 The action of G on $L(D)$

Let X be a smooth projective curve over an algebraically closed field F . Let $F(X)$ denote the function field of X (the field of rational functions on X) and, if D is any divisor on X then the Riemann-Roch space $L(D)$ is a finite dimensional F -vector space given by

$$L(D) = L_X(D) = \{f \in F(X)^\times \mid \operatorname{div}(f) + D \geq 0\} \cup \{0\},$$

where $\operatorname{div}(f)$ denotes the (principal) divisor of the function $f \in F(X)$. Let $\ell(D)$ denote its dimension. We recall the Riemann-Roch theorem,

$$\ell(D) - \ell(K - D) = \deg(D) + 1 - g,$$

where K denotes a canonical divisor and g the genus².

The action of $\operatorname{Aut}(X)$ on $F(X)$ is defined by

$$\begin{aligned} \rho : \operatorname{Aut}(X) &\longrightarrow \operatorname{Aut}(F(X)), \\ g &\longmapsto (f \longmapsto f^g) \end{aligned}$$

where $f^g(x) = (\rho(g)(f))(x) = f(g^{-1}(x))$.

Note that $Y = X/G$ is also smooth and $F(X)^G = F(Y)$.

Of course, $\operatorname{Aut}(X)$ also acts on the group $\operatorname{Div}(X)$ of divisors of X , denoted $g(\sum_P d_P P) = \sum_P d_P g(P)$, for $g \in \operatorname{Aut}(X)$, P a prime divisor, and $d_P \in \mathbb{Z}$. It is easy to show that $\operatorname{div}(f^g) = g(\operatorname{div}(f))$. Because of this, if $\operatorname{div}(f) + D \geq 0$ then $\operatorname{div}(f^g) + g(D) \geq 0$, for all $g \in \operatorname{Aut}(X)$. In particular, if the action of $G \subset \operatorname{Aut}(X)$ on X leaves $D \in \operatorname{Div}(X)$ stable then G also acts on $L(D)$. We denote this action by

$$\rho : G \rightarrow \operatorname{Aut}(L(D)).$$

²We often also use g to denote an element of an automorphism group G . Hopefully, the context will make our meaning clear.

2 Examples and special cases

Before tackling the general case, we study the Riemann-Roch representations of G when $X = \mathbb{P}^1$ or D is the canonical divisor.

2.1 The canonical embedding

This case was solved by Weil and Chevalley - see the beautiful discussion in [MP].

Let K denote a canonical divisor of X , so $\deg(K) = 2g-2$ and $\dim(L(K)) = g$. Let $\{\kappa_1, \dots, \kappa_g\}$ denote a basis for $L(K)$. If the genus g of X is at least 2 then the morphism

$$\begin{aligned} \phi : X &\longrightarrow \mathbb{P}(\Omega^1(X)) \cong \mathbb{P}^{g-1} \\ x &\longmapsto (\kappa_1(x) : \dots : \kappa_g(x)) \end{aligned}$$

defines an embedding, the “canonical embedding”, and ϕ is called the “canonical map”. It is known that $L(K)$ is isomorphic (as F -vector spaces) to the space $\Omega^1(X)$ of regular Weil differentials on X . This is contained in the space of all Weil differentials, $\Omega(X)$. (In the notation of [Sti], $\Omega^1(X) = \Omega(X)(0)$.) Since G acts on the set of places of F , it acts on the adèle ring of F , hence on the space $\Omega(X)$.

Now, even though K might not be fixed by G , there is an action of G on $L(K)$ obtained by pulling back the action of G on $\Omega^1(X)$ via an isomorphism $L(K) \cong \Omega^1(X)$.

The group $\text{Aut}(X)$ acts on X and on its image $Y = \phi(X)$ under an embedding $\phi : X \rightarrow \mathbb{P}^n$. If ϕ arises from a very ample linear system then an automorphism of Y may be represented (via the linear system) by an element of $PGL(n+1, F)$ acting on \mathbb{P}^n which preserves Y . For instance, if D is any divisor with $\deg(D) > 2g$ then the morphism

$$\begin{aligned} \phi : X &\longrightarrow \mathbb{P}^{n-1} \\ x &\longmapsto (f_1(x) : \dots : f_n(x)) \end{aligned}$$

defines an embedding, where $\{f_1, \dots, f_n\}$ is a basis for $L(D)$ (see, for example, Stepanov [St], §4.4). This projective representation of G on $L(D)$ exists independent of whether or not D is left stable by G .

Example 1 Let $X = \mathbb{P}^1/\mathbb{C}$ have projective coordinates $[x : y]$, let $G = \{1, g\}$, where $g(x/y) = y/x$, and let $D = 2[1 : 0] - [0 : 1]$, so $L(D)$ has basis

$\{x/y, x^2/y^2\}$. Then $g(x/y) = (y/x)^3(x^2/y^2)$ and $g(x^2/y^2) = (y/x)^3(x/y)$. Thus, as an element of $PGL(2, \mathbb{C})$, g is $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$.

Suppose, for example, X is non-hyperelliptic of genus ≥ 3 and ϕ arises from the canonical embedding. In this case, we have (a) the projective representation

$$\pi : G \rightarrow \text{Aut}(\mathbb{P}(\Omega^1(X)))$$

(acting on the canonical embedding of X) and (b) the projective representation obtained by composing the “natural” representation $G \rightarrow \text{Aut}(\Omega^1(X))$ with the quotient map $\text{Aut}(\Omega^1(X)) \rightarrow \text{Aut}(\Omega^1(X)/F^\times) = \text{Aut}(\mathbb{P}(\Omega^1(X)))$. These two representations are the same.

Remark 1 *For further details on the representation $G \rightarrow \text{Aut}(\Omega^1(X))$, see for example, the Corollary to Theorem 2 in [K], Theorem 2.3 in [MP], and the book by T. Breuer [B].*

2.2 The projective line

Before tackling the general case, we study the Riemann-Roch representations of G when $X = \mathbb{P}^1$.

Let $X = \mathbb{P}^1/F$, so $\text{Aut}(X) = PGL(2, F)$, where F is algebraically closed. Let $\infty = [1 : 0] \in X$ denote the element corresponding to the localization $F[x]_{(1/x)}$. In this case, the canonical divisor is given by $K = -2\infty$, so the Riemann-Roch theorem becomes

$$\ell(D) - \ell(-2\infty - D) = \deg(D) + 1.$$

It is known (and easy to show) that if $\deg(D) < 0$ then $\ell(D) = 0$ and if $\deg(D) \geq 0$ then $\ell(D) = \deg(D) + 1$.

A basis for the Riemann-Roch space is explicitly known for \mathbb{P}^1 . For notational simplicity, let

$$m_P(x) = \begin{cases} x, & P = [1 : 0] = \infty, \\ (x - p)^{-1}, & P = [p : 1]. \end{cases}$$

Lemma 2 *Let $P_0 = \infty = [1 : 0] \in X$ denote the point corresponding to the localization $F[x]_{(1/x)}$. For $1 \leq i \leq s$, let $P_i = [p_i : 1]$ denote the point corresponding to the localization $F[x]_{(x-p_i)}$, for $p_i \in F$. Let $D = \sum_{i=0}^s a_i P_i$ be a divisor, $a_k \in \mathbb{Z}$ for $0 \leq k \leq s$.*

(a) If D is effective then

$$\{1, m_{P_i}(x)^k \mid 1 \leq k \leq a_i, 0 \leq i \leq s\}$$

is a basis for $L(D)$.

(b) If D is not effective but $\deg(D) \geq 0$ then write $D = dP + D'$, where $\deg(D') = 0$, $d > 0$, and P is any point. Let $q(x) \in L(D')$ (which is a 1-dimensional vector space) be any non-zero element. Then

$$\{m_P(x)^i q(x) \mid 0 \leq i \leq d\}$$

is a basis for $L(D)$.

(c) If $\deg(D) < 0$ then $L(D) = \{0\}$.

The first part is Lemma 2.4 in [L]. The other parts follow from the definitions and the Riemann-Roch theorem.

In general, we have the following result.

Theorem 3 Let $X, F, G \subset \text{Aut}(X) = \text{PGL}(2, F)$, and $D = \sum_{i=0}^s a_i P_i$ be a divisor as above. Let $\rho : G \rightarrow \text{Aut}(L(D))$ denote the associated representation. This acts trivially on the constants (if any) in $L(D)$; we denote this action by 1. Let $S = \text{supp}(D)$ and let

$$S = S_1 \cup S_2 \cup \dots \cup S_m$$

be the decomposition of S into primitive G -sets.

(a) If D is effective then

$$\rho \cong 1 \oplus_{i=1}^m \rho_i,$$

where ρ_i is a monomial representation on the subspace

$$V_i = \langle m_P(x)^{\ell_j} \mid 1 \leq \ell_j \leq a_j, P \in S_i \rangle,$$

satisfying $\dim(V_i) = \sum_{P_j \in S_i} a_j$, for $1 \leq i \leq m$. Here $\langle \dots \rangle$ denotes the vector space span.

(b) If $\deg(D) > 0$ but D is not effective then ρ is a subrepresentation of $\rho : G \rightarrow \text{Aut}_F L(D^+)$, where D^+ is a G -equivariant effective divisor satisfying $D^+ \geq D$.

Proof: We prove the “monomialness” last.

(a) Fix an i such that $1 \leq i \leq m$. Consider the subspace V_i of $L(D)$. Since G acts by permuting the points in S_i transitively, this action induces an action ρ_i on V_i , a representation of G . It is irreducible since the action on S_i is transitive, by definition. Clearly $\oplus_{i=1}^m \rho_i$ is a subrepresentation of ρ . For dimension reasons, this subrepresentation must be all of ρ , modulo the constants (the trivial representation).

(b) Since D is not effective, we may write $D = D^+ - D^-$, where D^+ and D^- are non-zero effective divisors. The action of G must preserve D^+ and D^- . Since $L(D)$ is a G -submodule of $L(D^+)$, the claim follows.

In fact, each of these subrepresentations ρ_i is induced from a one-dimensional character of the group G_{P_i} (i.e., ρ_i is “monomial”). Indeed, (a) implies that

$$[V_i] = [L(\sum_{1 \leq j \leq s} D_j)] - [L(\sum_{j \neq i} D_j)].$$

where D_j is the divisor associated to the orbit S_j , $D = \sum_{j=1}^s D_j$ and $\text{supp}(D_j) = S_j$. Borne’s Lemma [Bo1] implies

$$[L(\sum_{1 \leq j \leq s} D_j)] - [L(\sum_{j \neq i} D_j)] = \deg_{eq}(D_i).$$

The definition of the equivariant degree implies that ρ_i is monomial.

□

Remark 2 Let F denote a finite field, k denote an algebraic closure, and let $X = \mathbb{P}^1/k$. The k -basis of $L(D)$ in the above lemma is clearly F -rational. Let $L(D)_F$ denote the F -rational vector space spanned by the basis elements $m_P(x)^\ell$ of $L(D)/k$. Pick two distinct orbits \mathcal{O}_1 and \mathcal{O}_2 of G in $X(F)$. Assume that D is the sum of the points in the orbit \mathcal{O}_1 and let $\mathcal{O}_2 = \{p_1, \dots, p_n\} \subset X(F)$. Define the associated code of length n by

$$C = \{(f(p_1), \dots, f(p_n)) \mid f \in L(D)_F\} \subset F^n.$$

This code has a G -action, by $g \in G$ sending $(f(p_1), \dots, f(p_n))$ to $(f(g^{-1}p_1), \dots, f(g^{-1}p_n))$, so is a G -module. Indeed, by construction, the action of G is by permuting the coordinates of C .

This G -action may have applications to permutation decoding of C .

3 The general case

Let X be a smooth projective curve defined over a field F . The following is our most general result.

Theorem 4 *Suppose $G \subset \text{Aut}(X)$ is a finite subgroup, and that the divisor $D \neq 0$ on X is stable under G . Let d_0 denote the size of a smallest G -orbit in X . Each irreducible composition factor of the representation of G on $L(D)$ has dimension $\leq d_0$.*

Remark 3 *This is best possible in the sense that irreducible subspaces of dimension d_0 can occur, by Theorem 3 (see also §7 and Example 3.2.2 below).*

Remark 4 *If F has characteristic 0 then every finite dimensional representation of a finite group is semi-simple (Prop 9, ch 6, [Se]). If F has characteristic p and p does not divide $|G|$ then every finite dimensional representation of G is semi-simple (Maschke's Theorem, Thrm 3.14, [CR], or [Se], §15.7).*

Proof: Let $D_0 \neq 0$ be a effective G -invariant divisor of minimal degree d_0 . Let $d = [\deg(D)/d_0]$ denote the integer part. The group G acts on each space in the composition series

$$\{0\} = L(-(d+1)D_0 + D) \subset L(-dD_0 + D) \subset L(-(d-1)D_0 + D) \subset \dots \subset L(-(d-m)D_0 + D) \subset \dots \subset L(D) .$$

In particular, G acts on the successive quotient spaces

$$L(-(d-m-1)D_0 + D)/L(-(d-m)D_0 + D), \quad 0 \leq m \leq d-1,$$

by the quotient representation. These are all of dimension at most d_0 (Prop. 3, ch 8, [F]).

□

Corollary 5 *Suppose that G is a non-abelian group acting on a smooth projective curve X defined over an algebraically closed field F and assume p does not divide the order of G . Let d_0 be as in Theorem 4 and let d_G denote the largest degree of all irreducible (F -modular) representations of G . Then*

$$d_0 \geq d_G.$$

Proof: Construct an effective divisor D of X fixed by G . We may assume that its degree is so large that the formula of Borne [Bo1] implies that each irreducible representations of G occurs at least once in the decomposition of $L(D)$. Therefore the set of irreducible subrepresentations of $L(D)$ are the same as the set of irreducible representations of G . The result now follows from our theorem. \square

Remark 5 *There are more general conditions for which $d_0 = d_G$ holds. For example, assume that P is a point in an orbit of size d_0 and let $H = G_P$ denote the stabilizer of P , so $d_0 = |G|/|H|$. Let σ denote an irreducible representation of H . If H is normal in G with cyclic quotient and if all the equivalence classes σ^g ($g \in G/H$) are distinct then $\text{Ind}_H^G \sigma$ is irreducible and of dimension d_0 , by Clifford's theorem. If there is an irreducible representation of G of this form $\text{Ind}_H^G \sigma$ under the above conditions then $d_0 \leq d_G$.*

Question: Is there an analog of Corollary 5 for wildly ramified $\pi : X \rightarrow X/G$?

3.1 Examples

Example 6 *Let \mathbb{F} be a separable algebraic closure of \mathbb{F}_3 . Let X denote the Fermat curve over \mathbb{F} whose projective model is given by $x^4 + y^4 + z^4 = 0$. The point $P = (1 : 1 : 1) \in X(\mathbb{F})$ is fixed by the action of $G = S_3$.*

Based on the Brauer character table of S_3 over \mathbb{F}_3 (available in GAP [GAP]), the group G has no 2-dimensional irreducible (modular) representations. Consequently, $d_G = d_0 = 1$.

Example 7 *Let $k = \mathbb{C}$ denote the complex field and let $X(N)$ denote the modular curve associated to the principal congruence group $\Gamma(N)$ (see for example Stepanov, [St], chapter 8). It is well-known that the group $\text{PSL}(2, \mathbb{Z}/N\mathbb{Z})$ is contained in the automorphism group of $X(N)$. Let $X = X(p)$, where $p \geq 7$ is a prime, and let $G = \text{PSL}(2, \mathbb{F}_p)$. In this case, we have, in the notation of the above corollary, $d_G = p + 1$. (The representations of this simple group are described, for example, in Fulton and Harris [FH]³.)*

³Actually those of $SL(2, \mathbb{F}_p)$ are described in [FH], but it is easy to determine the representations of $PSL(2, \mathbb{F}_p)$ from those of $SL(2, \mathbb{F}_p)$.

3.2 $y^2 = x^p - x$

In general, if X is a curve defined over a field F with finite automorphism group $G = \text{Aut}_F(X)$ then we call G **large** if $|G| > |X(F)|$.

Lemma 8 *If G is large then every point of $X(F)$ is ramified for the covering $X \rightarrow X/G$.*

Proof: Suppose $P \in X(F)$ is not ramified, so the stabilizer of P , G_P , is trivial. In this case, $|G \cdot P| = |G|/|G_P| = |G|$. But $G \cdot P \subset X(F)$ so $|G \cdot P| \leq |X(F)|$, a contradiction. \square

3.2.1 Case $F = GF(p)$

Let $p \geq 3$ be a prime, $F = GF(p)$, and let X denote the curve defined by

$$y^2 = x^p - x.$$

This has genus $\frac{p-1}{2}$. We assume that the automorphism group $G = \text{Aut}_F(X)$ is a central 2-fold cover of $PSL(2, p)$, we have a short exact sequence,

$$1 \rightarrow Z \rightarrow G \rightarrow PSL_2(p) \rightarrow 1, \quad (3.1)$$

where Z denotes the center of G (Z is generated by the hyperelliptic involution). The following transformations are elements of G :

$$\begin{aligned} \gamma_1 &= \begin{cases} x \mapsto x, \\ y \mapsto -y, \end{cases}, & \gamma_2 = \gamma_2(a) &= \begin{cases} x \mapsto a^2x, \\ y \mapsto ay, \end{cases} \\ \gamma_3 &= \begin{cases} x \mapsto x+1, \\ y \mapsto y, \end{cases}, & \gamma_4 &= \begin{cases} x \mapsto -1/x, \\ y \mapsto y/x^{\frac{p+1}{2}}, \end{cases} \end{aligned} \quad (3.2)$$

where $a \in F^\times$ is a primitive $(p-1) - st$ root of unity. This group acts transitively on $X(F)$, so it has an orbit of size $d_0 = |X(F)| = p+1$.

Let $P_1 = (1 : 0 : 1)$ and let H be its stabilizer in G . A counting argument shows that H is a solvable group of order $2p(p-1)$ generated by γ_1 , $\gamma_2(a)$ and γ_3 . By Lemma 8, every point in

$$X(F) = \{(1 : 0 : 0), (0 : 0 : 1), (1 : 0 : 1), \dots, (p-1 : 0 : 1)\}$$

is ramified over the covering $X \rightarrow X/G$ in the sense that each stabilizer $G_P = \text{Stab}_G(P)$ is non-trivial, $P \in X(F)$.

It is known (Proposition VI.4.1, [Sti]) that, for each $m \geq 1$, the Riemann-Roch space of $D = mP_1$ has a basis consisting of monomials,

$$x^i y^j, \quad 0 \leq i \leq p-1, \quad j \geq 0, \quad 2i + pj \leq m.$$

Lemma 9 *The semisimplification ρ_{ss} of the representation ρ of H acting on $L(D)$ is the direct sum of one-dimensional representations of G .*

Proof: The generator γ_1 acts trivially on the basis of $L(D)$, whereas

$$\gamma_2(a) : \begin{pmatrix} 1 \\ x \\ \vdots \\ x^r y^s \end{pmatrix} \mapsto \begin{pmatrix} 1 \\ a^2 x \\ \vdots \\ a^{2r+s} x^r y^s \end{pmatrix} = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & a^2 & \dots & \vdots \\ \vdots & \ddots & & 0 \\ 0 & \dots & 0 & a^{2r+s} \end{pmatrix} \begin{pmatrix} 1 \\ x \\ \vdots \\ x^r y^s \end{pmatrix},$$

and

$$\gamma_3 : \begin{pmatrix} 1 \\ x \\ \vdots \\ x^r y^s \end{pmatrix} \mapsto \begin{pmatrix} 1 \\ x+1 \\ \vdots \\ (x+1)^r y^s \end{pmatrix} = \begin{pmatrix} 1 & 0 & \dots & 0 \\ 1 & 1 & \dots & \vdots \\ \vdots & \ddots & & 0 \\ 0 & \dots & r & 1 \end{pmatrix} \begin{pmatrix} 1 \\ x \\ \vdots \\ x^r y^s \end{pmatrix},$$

where the non-zero terms in bottom row of the matrix representation of γ_3 are in the last $r+1$ row entries and consist of the binomial coefficients $\frac{r!}{(r-j)!j!}$, $0 \leq j \leq r$. Therefore, the group generated by these matrices is lower-triangular, hence solvable. \square

3.2.2 Case $F = GF(p^2)$

Let $F = GF(p^2)$ and let $F_0 = GF(p)$.

The automorphism group $G = \text{Aut}_F(X)$ is a central 2-fold cover of $PGL(2, p)$ and we have a short exact sequence,

$$1 \rightarrow Z \rightarrow G \xrightarrow{\tau} PGL_2(p) \rightarrow 1, \quad (3.3)$$

where Z denotes the subgroup of G generated by the hyperelliptic involution (which coincides with the center of G), by Göb [G]. The group G has order $2|PGL(2, p)| = 2p(p^2 - 1)$. The following transformations generate G :

$$\begin{aligned} \gamma_1 &= \begin{cases} x \mapsto x, \\ y \mapsto -y, \end{cases} \quad , \quad \gamma_2 = \gamma_2(a) = \begin{cases} x \mapsto a^2x, \\ y \mapsto ay, \end{cases} \\ \gamma_3 &= \begin{cases} x \mapsto x+1, \\ y \mapsto y, \end{cases} \quad , \quad \gamma_4 = \begin{cases} x \mapsto -1/x, \\ y \mapsto y/x^{\frac{p+1}{2}}, \end{cases} \end{aligned}$$

where $a \in F^\times$ is a primitive $2(p-1)$ -st root of unity.

Proposition 10 *Let $p > 3$ be a prime.*

(a) *Case $p \equiv 3 \pmod{4}$:*

Let $P_1 = (1 : 0 : 1)$ and fix some $P_2 \in X(F) - X(F_0)$. The set of rational points $X(F)$ decomposes into a disjoint union

$$C_1 = X(F_0) = G \cdot P_1, \quad C_2 = X(F) - X(F_0) = G \cdot P_2,$$

with $|C_1| = p+1$ and $|C_2| = 2p(p-1)$.

(b) *Case $p \equiv 1 \pmod{4}$:*

The automorphism group of X/F acts transitively on $X(F)$ and the stabilizer of any point is a group of order $2p(p-1)$.

Remark 6 *The proof of this proposition is omitted, so may be regarded as a conjecture instead, if the reader wishes. It has been verified using MAGMA if $p = 5, 7, 11, 13$. It has been proven in an email to the first author by Bob Guralnick.*

This and Lemma 8 imply every point in $X(F)$ is ramified for the covering $X \rightarrow X/G$.

Let $P_1 = (1 : 0 : 1)$ and let H_1 be its stabilizer in G . We have already seen that H_1 is a solvable group of order $2p(p-1)$ generated by $\gamma_1, \gamma_2(a)$, and γ_3 . As a consequence, $|C_1| = |G \cdot P_1| = |G|/|H_1| = p+1$

Using $H_1 = \langle \gamma_1, \gamma_2, \gamma_3 \rangle$ and the explicit expressions for the γ_i , it can be checked directly that no $g \in H_1$, $g \neq 1$, fixes any $P \in C_2$. Therefore, $H_1 \cap H_2 = \{1\}$.

According to the proposition, the stabilizer H_2 of P_2 has order $p+1$. This and $|G| = |H_1| \cdot |H_2|$ implies $G = H_1 \cdot H_2$. In other words, H_2 is a complement of H_1 in G . (As sets, $G/H_1 \cong \text{PGL}_2(p)/B \cong \mathbb{P}^1(F_0)$.)

In fact, if B denotes the (Borel) upper-triangular subgroup of $PGL(2, p)$ then $H_1 = \tau^{-1}(B)$. Since B is solvable and any abelian cover of a solvable group is solvable, H_1 is solvable. Since B is not normal in $PGL_2(p)$, H_1 is not normal in G .

By the proposition, the divisor D_2 associated to O_2 has degree $2p(-1) > 2g$, so by the Riemann-Roch theorem, $\dim(L(D_2)) = 2p(p-1) + 1 - \frac{p-1}{2}$. The theorem implies that, in this case, the largest irreducible constituent of $L(D_2)$ is dimension $d_G = p$.

4 Applications

In this section we discuss connections with the theory of error-correcting codes.

Throughout this section, we assume X , G , and D are as in Theorem 4. Assume F is finite.

Let $P_1, \dots, P_n \in X(F)$ be distinct points and $E = P_1 + \dots + P_n \in \text{Div}(X)$ be stabilized by G . This implies that G acts on the set $\text{supp}(E)$ by permutation. Assume $\text{supp}(D) \cap \text{supp}(E) = \emptyset$. Let $C = C(D, E)$ denote the AG code

$$C = \{(f(P_1), \dots, f(P_n)) \mid f \in L(D)\}. \quad (4.1)$$

This is the image of $L(D)$ under the evaluation map

$$\begin{aligned} eval_E : L(D) &\rightarrow F^n, \\ f &\longmapsto (f(P_1), \dots, f(P_n)). \end{aligned} \quad (4.2)$$

The group G acts on C by $g \in G$ sending $c = (f(P_1), \dots, f(P_n)) \in C$ to $c' = (f(g^{-1}(P_1)), \dots, f(g^{-1}(P_n)))$, where $f \in L(D)$. First, we observe that this map, denoted $\phi(g)$, is well-defined. In other words, if $eval_E$ is not injective and c is also represented by $f' \in L(D)$, so $c = (f'(P_1), \dots, f'(P_n)) \in C$, then we can easily verify $(f(g^{-1}(P_1)), \dots, f(g^{-1}(P_n))) = (f'(g^{-1}(P_1)), \dots, f'(g^{-1}(P_n)))$. (Indeed, G acts on the set $\text{supp}(E)$ by permutation.) This map $\phi(g)$ induces a homomorphism of G into the permutation automorphism group of the code $\text{Aut}(C)$, denoted

$$\phi : G \rightarrow \text{Aut}(C) \quad (4.3)$$

(Prop. VII.3.3, [Sti], and §10.3, page 251, of [St])⁴. The paper Wesemeyer [W] investigated ϕ when C is a one-point AG code arising from a certain family of planar curves.

4.1 Separation of points

To investigate the kernel of this map ϕ , we introduce the following notion. Let $H \in \text{Div}(X)$ be any divisor. We say that the space $L(H)$ **separates points** if for all points $P, Q \in X$, $f(P) = f(Q)$ (for all $f \in L(H)$) implies $P = Q$ (see [H], chapter II, §7).

We shall show that Riemann-Roch spaces separate points for “big enough” divisors.

If G is a group of automorphisms of X defined over F then G induces an automorphism on the image of the evaluation map $\text{eval}_E : L(D) \rightarrow F^n$. For this discussion, let us assume this is an injection. (This is not a serious assumption.) To understand the kernel of this map ϕ in (4.3), we’d like to know whether or not $(f(P_1), \dots, f(P_n)) = (f(g^{-1}P_1), \dots, f(g^{-1}P_n))$ implies $P_i = g^{-1}P_i$, for $1 \leq i \leq n$.

Let X be a plane curve with irreducible equation

$$y^n + f_1(x)y^{n-1} + \dots + f_{n-1}(x)y + f_n(x) = 0,$$

where $\deg(f_i(x)) \leq i$, $1 \leq i \leq n$. We assume $n \geq 2$ but we do not assume X is non-singular.

Let D be a divisor on X and let $(x)_\infty$ be the point divisor of x , so $\deg(x)_\infty = n$.

Recall that the Riemann-Roch space $L(D)$ **separates points** if, for each pair $P, Q \in X - \text{supp}(D)$, $f(P) = f(Q)$ for all $f \in L(D)$ implies $P = Q$ [H].

Lemma 11 *If $(x)_\infty \leq D$ then $L(D)$ separates points.*

The hypothesis cannot be omitted.

Proof: Note that if $D' \leq D$ and $L(D')$ separates points then $L(D)$ does too.

By hypothesis, $L((x)_\infty) \subset L(D)$. By Proposition III.10.5 in [Sti], $x^i y^j \in L((x)_\infty)$, for $0 \leq j \leq n-1$ and $0 \leq i \leq 1-j$. (Here $0 \leq i \leq 1-j$ means $i = 0$ when $j \geq 1$.) Let $P_1 = (x_1, y_1)$ and $P_2 = (x_2, y_2)$. The condition

⁴Both of these references define ϕ by $\phi(g)(c) = (f(g(P_1)), \dots, f(g(P_n)))$. However, this is a homomorphism only when G is abelian.

$f(P_1) = f(P_2)$, for all $f \in L((x)_\infty)$ implies $x_1^i y_1^j = x_2^i y_2^j$, for all i, j as above. In particular, we may take $(i, j) = (1, 0)$ and $(i, j) = (0, 1)$, so $P_1 = P_2$. Therefore, $L((x)_\infty)$ separates points, and hence $L(D)$ does too. \square

As the following example shows, the lemma is in some sense best possible.

Example: Let $F = GF(9)$ and X be the curve defined over F by

$$y^2 = x^3 - x.$$

Let P_∞ be the point at infinity on X . The spaces $L(mP_\infty)$, $1 \leq m \leq 2$, do not separate points on X . Indeed, there are distinct points $P, Q \in X(F)$ which have the same x -coordinate. Since $L(2P_\infty) = \langle 1, x \rangle$, it cannot distinguish them. On the other hand, by the Lemma, $L(3P_\infty)$ must separate points. Indeed, $L(3P_\infty) = \langle 1, x, y \rangle$, so from the reasoning in the above proof, it is obvious that it does.

As a consequence of the lemma (changing variables if necessary), we see that, if for some $a \in F$, $(x - a)_\infty \leq D$ then $L(D)$ separates points.

Question: Is the converse also true?

4.2 The kernel of ϕ

The paper by Wesemeyer [W] investigated the homomorphism $\phi : G \rightarrow \text{Aut}(C)$ in some special cases. In general, if $L(D)$ separates points then

$$\text{Ker}(\phi) = \{g \in G \mid g(P_i) = P_i, 1 \leq i \leq n\}.$$

It is known (proof of Prop. VII.3.3, [Sti]) that if $n > 2g + 2$ then $\{g \in G \mid g(P_i) = P_i, 1 \leq i \leq n\}$ is trivial. Therefore, if $n > 2g + 2$ and $L(D)$ separates points then ϕ is injective.

Example 12 Let $F = GF(7)$ and let X denote the curve defined by

$$y^2 = x^7 - x.$$

This has genus 3. The automorphism group $\text{Aut}_F(X)$ is a central 2-fold cover of $PSL_2(F)$: we have a short exact sequence,

$$1 \rightarrow H \rightarrow \text{Aut}_F(X) \rightarrow PSL_2(7) \rightarrow 1,$$

where H denotes the subgroup of $\text{Aut}_F(X)$ generated by the hyperelliptic involution (which happens to also be the center of $\text{Aut}_F(X)$). (Over the algebraic

closure \overline{F} , $\text{Aut}_{\overline{F}}(X)/\text{center} \cong \text{PGL}_2(\overline{F})$, by [G], Theorem 1.) Generators for the automorphism group are given in (3.2) above, taking $p = 7$.

There are 8 F -rational points⁵:

$$X(F) = \{P_1 = (1 : 0 : 0), P_2 = (0 : 0 : 1), P_3 = (1 : 0 : 1), \dots, P_8 = (6 : 0 : 1)\}.$$

The automorphism group acts transitively on $X(F)$. Consider the projection $C \rightarrow \mathbb{P}^1$ defined by $\phi(x, y) = x$. The map ϕ is ramified at every point in $X(F)$ and at no others.

Let $G = \text{Stab}(P_1, \text{Aut}_F(X))$ denote the stabilizer of the point at infinity in $X(F)$. All the stabilizers $\text{Stab}(P_i, \text{Aut}_F(X))$ are conjugate to each other in $\text{Aut}_F(X)$, $1 \leq i \leq 8$. The group G is a non-abelian group of order 42 (In fact, the group $G/Z(G)$ is the non-abelian group of order 21, where $Z(G)$ denotes the center of G .)

It is known (Proposition VI.4.1, [Sti]) that, for each $m \geq 1$, the Riemann-Roch space $L(mP_1)$ has a basis consisting of monomials,

$$x^i y^j, \quad 0 \leq i \leq 6, \quad j \geq 0, \quad 2i + 7j \leq m.$$

Let $D = 5P_1$, $S = C(F) - \{P_1\}$, and let

$$C(D, S) = \{(f(P_2), \dots, f(P_8)) \mid f \in L(D)\}.$$

This is a $[7, 3, 5]$ code over F . In fact, $\dim(L(D)) = 3$, so the evaluation map $f \mapsto (f(P_2), \dots, f(P_8))$, $f \in L(D)$, is injective. Since G fixes D and preserves S , it acts on C via

$$g : (f(P_2), \dots, f(P_8)) \mapsto (f(g^{-1}P_2), \dots, f(g^{-1}P_8)),$$

for $g \in G$.

Let P denote the permutation group of this code. It is a group of order 42. However, it is not isomorphic to G . In fact, P has trivial center. The (permutation) action of G on this code implies that there is a homomorphism

$$\psi : G \rightarrow P.$$

What is the kernel of this map? There are two possibilities: either a subgroup of order 6 or a subgroup of order 21 (this is obtained by matching possible

⁵MAGMA views the curve as embedded in a weighted projective space, with weights 1, 4, and 1, in which the point at infinity is nonsingular.

orders of quotients G/N with possible orders of subgroups of P). Take the automorphisms γ_1, γ_2 with $a = 2$ and γ_3 . If we identify $S = \{P_2, \dots, P_8\}$ with $\{1, 2, \dots, 7\}$ then

$$\gamma_1 \leftrightarrow (2, 7)(3, 6)(4, 5) = g_1,$$

$$\gamma_2 \leftrightarrow (2, 5, 3)(4, 6, 7) = g_2,$$

$$\gamma_3 \leftrightarrow (1, 2, \dots, 7) = g_3.$$

The group $\ker(\phi) = N = \langle g_2, g_3 \rangle$ is a non-abelian normal subgroup of $G = \langle g_1, g_2, g_3 \rangle$ of order 21.

4.3 Permutation representations

In this subsection, we show how theorems about AG codes can, in some cases, give theorems about representations on Riemann-Roch spaces.

Assume that X/\mathbb{F} is a hyperelliptic curve defined over a finite field \mathbb{F} of characteristic $p > 2$ with automorphism group $G = \text{Aut}_{\mathbb{F}}(X)$. Let D be a G -equivariant divisor on X , let $\mathcal{O} \subset X(\mathbb{F})$ be a G -orbit disjoint from the support of D , and let $E = \sum_{P \in \mathcal{O}} P$. Let P be the permutation automorphism group of the code $C = C(D, E)$ defined in (4.1).

Theorem 4.6 in [W] implies that if $n = \deg(E)$ and $t = \deg(D)$ satisfy $n > \max(2t, 2g + 2)$ then the map $\phi : G \rightarrow P$ is an isomorphism. Using this, we regard C as a G -module. In particular, the (bijective) evaluation map $\text{eval}_E : L(D) \rightarrow C$ in (4.2) is G -equivariant. Since G acts (via its isomorphism with P) as a permutation on C , we have proven the following result.

Proposition 13 *Under the conditions above, the representation ρ of G on $L(D)$ is equivalent to a representation ρ' with the property that, for all $g \in G$, $\rho'(g)$ is a permutation matrix.*

4.4 Memory application

If C is a linear code with non-trivial permutation group then this extra symmetry of the code may be useful in practice. In order to store the elements of C , we need only store one element in each G -orbit, so this symmetry can be used to more efficiently store codewords in memory on a computer.

Example 14 Let $G = S_3$ act on the genus 3 Fermat quartic X whose projective model is $x^4 + y^4 + z^4 = 0$ over $\mathbb{F}_9 = \mathbb{F}_3(i)$, where i is a root of the irreducible polynomial $x^2 + 1 \in \mathbb{F}_3[x]$. One can check that there are exactly 6 distinct points in the G -orbit of $[\alpha : 1 : 0] \in X(\mathbb{F}_9)$, where α is a generator of \mathbb{F}_9^\times . Let

$$G \cdot [\alpha : 1 : 0] = \{Q_1, \dots, Q_6\},$$

$$E = Q_1 + \dots + Q_6 \in \text{Div}(X), \quad D = 6 \cdot [1 : 1 : 1] \in \text{Div}(X).$$

Then $L(D)$ is 4-dimensional, by the Riemann-Roch theorem. Note that no Q_i belongs to the support of D , so we may construct the Goppa code

$$C = \{(f(Q_1), \dots, f(Q_6)) \mid f \in L(D)\},$$

a generator matrix being given by the 4×6 matrix $M = (f_i(Q_j))_{1 \leq i \leq 4, 1 \leq j \leq 6}$, where f_1, \dots, f_4 are a basis of $L(D)$. According to [MAGMA], $\dim_{\mathbb{F}_9}(C) = 4$ and the minimum distance of C is 2. The action of an element in the group G on C permutes the Q_i , hence may be realized by permuting the coordinates of each codeword in C in the obvious way. (In other words, the action of G on C is isomorphic to the regular representation of S_3 on itself.) Using the group action, storing all $|C| = 9^4$ elements may be reduced to storing only the representatives of each orbit C/S_3 .

4.5 Permutation decoding application

If C is a linear code with non-trivial permutation group then this extra symmetry of the code may be useful in decoding. Permutation decoding is discussed, for example, in Huffman and Pless [HP]. We recall briefly, for the convenience of the reader, the main ideas.

We shall assume that C is in standard form. Let C be a $[n, k, d]$ linear code over $GF(q)$, let $t = \lfloor (d-1)/2 \rfloor$, and let $G = (I_k, A)$ denote the generator matrix in standard form. From this matrix G , it is well-known and easy to show that one can compute an encoder $E : GF(q)^k \rightarrow GF(q)^n$ with image C , and a parity check matrix $H = (B, I_{n-k})$ in standard form, $B = -A^t$.

The key lemma is the following result: Suppose $v = c + e$, where $c \in C$ and $e \in GF(q)^n$ is an error vector with Hamming weight $wt(e) \leq t$. Under the above conditions, the information symbols of v are correct if and only if $wt(Hv) \leq t$.

Let P denote the permutation automorphism group of C . The permutation algorithm is:

1. For each $p \in P$, compute $wt(H(pv))$ until one with $wt(H(pv)) \leq t$ is found (if none is found, the algorithm fails).
2. Extract the information symbols from pv , and use E to compute code-word c_p from them.
3. Return $p^{-1}c_p = \text{Decode}(v)$.

For example, if P acts transitively then permutation decoding will correct at least one error.

The key problem is to find a set of permutations in P which moves the non-zero positions in every possible error vector of weight $\leq t$ out of the information positions. (This set, called a *PD-set*, will be used in step 1 above instead of the entire set P .)

Example 15 *We give two examples of MDS codes for which permutation decoding applies.*

1. *This is an example of a $[7, 3, 5]$ one-point AG code over $GF(7)$ arising from the hyperelliptic curve $y^2 = x^5 - x$.*

```
p:=7;
F:=GF(p);
P<x>:=PolynomialRing(F);
f:=x^p-x;
X:=HyperellipticCurve(f);
Div := DivisorGroup(X);
Pls:=Places(X,1);
S:=[Pls[i] : i in [2..#Pls]];
m:=4;
D := m*(Div!Pls[1]);
AGC := AlgebraicGeometricCode(S, D);
Length(AGC);
Dimension(AGC);
MinimumDistance(AGC);
WeightDistribution(AGC);
PG := PermutationGroup(AGC);IdentifyGroup(PG);
ZP:=Center(PG);IdentifyGroup(PG/ZP);
IsTransitive(PG);
GeneratorMatrix(AGC);
```

This code has generator matrix in standard form given by

$$G = \begin{pmatrix} 1 & 0 & 0 & 2 & 5 & 1 & 5 \\ 0 & 1 & 0 & 1 & 5 & 5 & 2 \\ 0 & 0 & 1 & 5 & 5 & 2 & 1 \end{pmatrix}.$$

Moreover, the permutation automorphism group of the code is a group of order 42 generated by

$$S = \{(1, 7)(2, 6)(3, 4), (1, 4, 5)(2, 6, 3), (1, 3)(2, 4)(5, 6)\}.$$

The elements of $S \cup S \cdot S$ can be used as a PD-set, where $S \cdot S = \{s_1 s_2 \mid s_i \in S\}$.

2. *This is an example of a $[13, 5, 9]$ one-point AG code over $GF(13)$ arising from the hyperelliptic curve $y^2 = x^{13} - x$. Similar MAGMA commands, but with $p = 13$, yields that this code has generator matrix in standard form given by*

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 3 & 2 & 3 & 8 & 6 & 10 & 7 & 12 \\ 0 & 1 & 0 & 0 & 0 & 3 & 12 & 1 & 5 & 11 & 4 & 10 & 5 \\ 0 & 0 & 1 & 0 & 0 & 11 & 8 & 7 & 2 & 2 & 4 & 6 & 11 \\ 0 & 0 & 0 & 1 & 0 & 6 & 9 & 10 & 4 & 11 & 10 & 11 & 3 \\ 0 & 0 & 0 & 0 & 1 & 4 & 9 & 6 & 8 & 10 & 12 & 6 & 9 \end{pmatrix}.$$

Moreover, the permutation group is generated by

$$\{p_1 = (1, 2)(3, 8)(4, 12)(5, 7)(6, 9)(10, 11), \\ p_2 = (2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13)\}.$$

We shall show that $P - \{1\}$ can be chosen to be a PD-set for ≤ 4 errors. The argument proceeds on a case-by-case basis. One of the worst cases is when there are errors in positions 1, 2, 12 and 13. In this case, apply (reading right-to-left) $p_1 p_2 p_1 p_2^3$. This pushes the error from positions (1, 2, 12, 13) to (8, 11, 13, 6), in particular out of the information positions⁶.

⁶Additionally, this algorithm will, in *some* cases, work even if there are 5 errors (e.g., in positions 1, 2, 3, 4, 5). Because $d = 9$, with 5 errors we cannot be sure that the permutation decoded vector is the one which was sent.

Conjecture 16 *For one-point AG codes C associated to $y^2 = x^p - x$ over $GF(p)$ of length $n = p$, permutation decoding always applies. Its complexity is at worst the size of the permutation group of C , which we conjecture to be $O(p^2) = O(g^2) = O(n^2)$.*

This matches the complexity of some known algorithms.

Conjecture 17 *For one-point AG codes C associated to $y^2 = x^p - x$ over $GF(p^2)$ of length $n = 2p(p - 1) + p$, permutation decoding always applies and is more efficient in terms of computational complexity than the standard decoding algorithm in [St]. We conjecture that, if the points in $X(F)$ are arranged suitably then the image of the $\text{Aut}_F(X)$ in the permutation group of C may be used as a PD-set. Its complexity is at worst the size of the automorphism group of X , which is $O(p^2) = O(g^2) = O(n)$.*

If true, to our knowledge, this beats the complexity of other decoding algorithms, such as those in [Sti].

Example 18 *We give examples of two AG codes for which permutation decoding probably applies.*

- *This is an example of a $[91, 5, 66]$ code constructed from the trace of a $[91, 3, 87]$ one-point AG code over $F = GF(49)$ arising from the hyperelliptic curve $y^2 = x^7 - x$. (We use the trace code only because MAGMA version 2.10 cannot compute the permutation group of a code over $GF(49)$.)*

```
p:=7;
F:=GF(p^2);
P<x>:=PolynomialRing(F);
f:=x^p-x;
X:=HyperellipticCurve(f);
Div := DivisorGroup(X);
Pls:=Places(X,1);
S:=[Pls[i] : i in [2..#Pls]];
m:=4;
D := m*(Div!Pls[1]);
AGC := AlgebraicGeometricCode(S, D);
Length(AGC);
```

```

Dimension(AGC);
MinimumDistance(AGC);
WeightDistribution(AGC);
AGC0:=Trace(AGC,GF(p));
Length(AGC0);
Dimension(AGC0);
MinimumDistance(AGC0);
WeightDistribution(AGC0);
PG := PermutationGroup(AGC0);
#PG;
ZP:=Center(PG);
#ZP;
IsTransitive(PG);
GeneratorMatrix(AGC0);

```

The permutation group of the trace of the AG code is huge: 1073852196 elements. The automorphism group $G = \text{Aut}_F(X)$, which has 672 elements, of the curve acts on $X(F)$ with only two orbits, $O_1 = X(\text{GF}(7))$ of size 8 and $O_2 = X(F) - O_1$ of size 84. (This follows from Proposition 10 but was verified using MAGMA in this case.) Of course, in a practical application, one would want to index the points of $X(F)$ so that the information positions are contained in O_2 .

- *Let E denote the sum of all the points in O_2 and let D be the sum of all the points in O_1 . Note E has degree 84, D degree 8, and X has genus 3. Therefore, by Theorem 4.6 in [W], the permutation automorphism group P of the AG code $C = C(D, E)$ satisfies $P \cong G$. In other words, the map ϕ in (4.3) is injective (which also follows from the discussion above) and surjective.*

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